

STATEMENT OF PROFESSIONAL STANDING

This is to verify that:

Peter Lawrence Broughton

holds a qualification that is listed within the Appropriate Qualifications section of the FCA (Financial Conduct Authority) Handbook, complies with the FCA rules for Continuing Professional Development and FCA's Statements of Principle for Approved Persons (APER) / code of conduct (COCON)

This SPS is valid for the period 10 May 2020 - 9 May 2021



Mark Heaton, Director, Corporate and Professional Development

Verification number: 67285

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