

STATEMENT OF PROFESSIONAL STANDING

This is to verify that:

Shane Mario Audie Kao

holds a qualification that is listed within the Appropriate Qualifications section of the FCA (Financial Conduct Authority) Handbook, complies with the FCA rules for Continuing Professional Development and FCA's Statements of Principle for Approved Persons (APER) / code of conduct (COCON)

This SPS is valid for the period 17 December 2019 - 16 December 2020



Mark Heaton, Director, Corporate and Professional Development